Paper prepared for the 6th Euroacademia International Conference The European Union and the Politicization of Europe

11 – 12 December 2017 Florence, Italy

This paper is a draft

Please do not cite or distribute

"European foreign policy has already 'saved' the foreign policies of small states. Now it is saving the foreign policies of the large ones too."

"What will our European partners say – what is the opinion in Europe?" (Tonra 1997, 187)

For EU to save foreign policies of Member States¹ (MS) it means to promote their strategic interest, to give them a European scope through policy transfer to EU institutions. Else, salvage means Europeanization².

Considering that the foreign policies of small states are already 'saved', this paper seeks to explore the pattern of large ones. By looking at the Europeanization in the context of national foreign policies, by describing 'salvage' cases of small and big states within the EU area, the research also argues why in the latter's case European Foreign Policy (EFP) is 'saving' itself. Conclusions question on: EFP upgrade and on the challenges in Turkey and in the Western Balkans⁴. Lastly, two more issues for further research.

Key words: EFP, national foreign policies, Europeanization, small states, large states, CFSP, ESDP

1. Europeanization of national foreign policy

The end of the bipolar system and associated political developments made of Europeanization indispensable for EU member states to put into effect a common policy envisaged by the Common Foreign Security Policy (CFSP) and to consolidate the follow- up towards European Security Defence Policy (ESDP).

1.1 Apropos Europeanization

Europeanization is a theoretical and political approach that explains: a) process of political penetration of the EU system of rules and norms into the different domestic strata and levels of member states; b) a process of identity-formation towards the European identity and c) the cost of the institutional impact of EU member on MS (Radaelli 2000). For Vink, Europeanizing signifies impacting domestic level from EU level⁵. In terms of development, Europeanization can be exported from the shipper (EU) to the addressees (member states) (Papadimitriou 2002).

Anastasakis defines Europeanization as "a model building exercise-political system in the making that produces decisions and has an impact on domestic structures" (Anastasakis 2005, p. 78). For other scholarships, Europeanization implies also an institutional and identity metamorphosis of the state (Hix & Goetz 2000). To further expand formally, Europeanization relies on the dimensions of: scope and depth (Keohane 1989a, p. 4).

It is evident that Europeanization of national foreign policies is a *modus vivendi and operandi* for the states as institutional and social entities. Exporting EU stimulus to the state-building process, policies and societies, the EU aims to unify itself as an international actor in global politics.

1.2 Europeanization and national foreign policy

In the framework of CFSP, Europeanization is the EU impact on the state's rational choices and decisions for a common European *identity* and *interest*. As a comprehensive process, Europeanization consists of **dimensions**, **facets**, **activity areas** and instruments. As a cooperative process⁶, it is about *intergovernmental arrangements*, "sociological institutionalization" and coping capacity with EU as MS should pool their sovereignty to seek consensus. Both processes are closely interconnected to define and implement CFSP (Art.24, para 2 TEU).

Wong identifies three **Europeanization facets**: "national adaptation⁸, national projection⁹, identity reconstruction¹⁰" (Wong 2011, 157) corresponding to the **dimensions** of "downloading, uploading and crossloading" (Muller e Flers 2009, 12).¹¹

Migena Pengili Euroacademia 11-12 December 2017

In foreign policy matters, there is a continuous bargaining between national and European interests for what is of mutual benefit also leading to a shared vision with EU (Moravscik 1993). The absorption capacity of MS for EFP, depends on the "goodness of fit" (Miskimmon 2007)¹² or coping capacity with EU requirements.

Applied to the CFSP/ESDP it means that MS participate in foreign-policy making using *economic*, *political*, *military and cultural* **instruments** (Kissack 2016) to participate in the **activity areas** of: trade relations and the development aid programme (*economic*), CFSP, European Neighbourhood Policy (ENP), enlargement, justice and home affairs, human rights, and democracy etc...(*political*), ESDP, peacekeeping etc.(*military*) and education (*cultural*).¹³

Concluding, Europeanization of national foreign policies is a comprehensive-cooperative process between EU and MS, implying a continuous policy dialogue between seekers and receivers, where decision-making and problem-solving issues are benefits that both sides enjoy.

Additionally, CFSP/ESDP institutionalization aims to bring EU closer to its goal of greater uniformity through an identifiable EU interest realized by facets of Europeanization.

2. EFP is a matter of costs and benefits: salvaging larger states

This section illustrates: (i) briefly, what signifies salvage for small states and (ii) in more detail, what signifies for larger states, as Germany, France and UK. The difference lies that in the second case, EFP is saving itself.

2.1 For harmony makes small states great....¹⁴

In the case of EU small states, size is of no concern. They are 20 out of 27^{15} , all represented in the European Council of, with a vote in the Council and often holding the rotating Council presidency (e.g. Malta, January 2017). Hence, the increasing international influence induces these states to prefer mainly as a salvage vehicle, *national projection* which is gainful to them because:

- (i) Projection of policies, it is not adaptation to the Union policies. States upload their strategic interests to European structures. The institutional resources deriving from this upload, support these players exerting international influence.
- (ii) Europeanization of national foreign policy brings benefits to the EU and to the MS. **For EU**: Future survival of the union; political and security benefits; economic benefits (Mayhew 1998). Whereas **for the small states**: Participation in cooperative security arrangements, foreign policy decision making, and the conduct of an independent foreign policy. The following examples show that pro-active behaviour ¹⁹ of small states within the CFSP/ESDP framework exemplify EFP capacity in fostering national interests of MS.

In 2012, the Bulgarian Foreign Minister Mladenov leaded a delegation of EU foreign ministers representing Lady Ashton on visits to Lebanon, Iraq and the South Caucasus. The delegation reflected on the EU as an undivided international actor where member states fully cooperate.²⁰

Pastore (Pastore 2013) reflects on the "Small State Start Strategy" developed by the Baltic Countries and some other states from the Balkans and the Mediterrnean, to pursue their foreign policies in the EU. Geographic vicinities bring in security and welfare implications, such as: Baltic countries vs. Russia and Belarus. By salvaging their foreign policies, strategic goals were fostered. E.g. In 2015, because of the events in Crimea and Ukraine, the Baltic Countries called for a support of NATO in the Eastern Front reflecting multifaceted response (NATO-EU) to the Russian threat.²¹

The influence of Belgium and Greece respectively towards the Democratic Republic of Kongo (DRC) and Turkey, is another constructive case. Greece and Belgium have weak power but, under EFP their influence grew. For Belgium, DRC is an "old romance"- a former colony of King Leopold II. During the Council presidency in 2001, Belgium brought Africa to the focus of EFP by sending the first mission to the region and continuing its active commitment (Hoebeke, Carette e Vlassenroot 2007). Whereas, Greece has influenced in shaping EFP conduct towards Turkey. (Tsardanidis e Stavridis 2005) E.g. Turkey EU membership, depends on: respect for minority rights and religious freedom of the Ecumenical Patriarchate²².

The Scorecard 2016, also highlighted the leading/cooperative role of small states in 12 EU foreign policy areas EU. (ECFR, 2016) e.g. the responses to France's invocation of the 42.7 of mutual defence.

Put it simply, small states as opportunist policy-makers indicate EFP to promote their strategic interest.

2.2 Rescuing the Big Three or Shaping EU foreign policies?

Given the continuous maturity in EU and its MS in matters of foreign policy, the 'Big Three' conquer a central position in the formulation and implementing CFSP amongst three lobbies: Europeanist (France), Atlanticist (Britain) and Euro-Atlanticist (Germany). The question is whether EFP while rescuing the Big Three, in fact, is shaping itself.

2.2.1 *Crisis management by national projection.*

Migena Pengili Euroacademia 11-12 December 2017

The behaviour of a state in crisis management situations entwines strategic interests and political and military capacities to coordinate the responses. In the case of EU, the response to crisis management is a collective one, exercised on national level but coordinated in supranational level. France, UK and Germany were involved in crisis managements in FYROM (1990) and Afghanistan (2001).

Gross (2007) pinpoints that differences in national views shaped the conduct of CFSP/ESDP. E.g. in FYROM crisis, the first phase of the crisis response consisted in political negotiations, reflecting an overall agreed political conduct of EFP. In the second phase the launch of a NATO-EU led military operation showed that states differentiated in overall agreed 'hard' conduct of the EFP when it came to agree with NATO. In the case of Afghanistan, UK and Germany preferred NATO, while France though its initial reluctance adapted to allies' preferences.

France sees EFP as a mean to project national influence interests in forming a self-directed ESDP beside NATO. UK, while upholding a national profile considers EFP as a pragmatic choice. In Afghanistan, Germany used the EFP to strengthen national preferences. Although Berlin was not actively involved politically or military in the years after, it sought to be able and shape the emerging ESDP agenda (Gross 2007).

As a result, in crisis management situations the Big Three projected and adapted their strategic interests to EFP. On the other hand, they also influenced EFP conduct in responding to the crisis.

2.2.2 National adaptation, a German case

Smith (Smith 2000) puts in evidence four factors supporting national adaptation to EFP: (i)leadership socialisation, (ii)institutional and (iii)constitutional reformation, and (iv) support of public opinion. This is supported by Miskimmon (2007) in the case of Germany, where national foreign policy impacts EFP because of the these factors' influence. E.g.: (i) negotiations to the signature of Maastricht Treaty- where the 'young'reunified Germany had to adapt to the EFP architecture. (ii) Amsterdam Treaty signature. Through institutional reformation, it was possible to mediate the demands from the Länder, even when incompatible with Germany's fundamental positions. (iii)The German Presidency of the European Council in 1999 during Balkan Wars²³. (vi) EU support to UN troops in the Congo in 2006. ²⁴

2.2.3 *EFP shaping itself?*

Obviously, France, Germany and UK shaped the EFP and continue to do so. Many of the key elements of the foreign policy in the Lisbon Treaty depends on the basic parameters set by the Big Three (Lehne 2012). Also, Lehne sustains that there are some reasons behind that, such as: (i) *capability to conduct an independent foreign policy*. When France leaded the Council presidency in 2008, it engaged in negotiations with Russia with regard to the Georgian War. The Sarkozy-Medvedev agreement, intended to clarify a peace accord per which Russian troops would withdraw from the Georgian Black Sea port. (ii) multilateral approach. UK, France and Germany are part of important international and other supranational structures as UN Security Council (UK and France), G8, G20 and NATO.

(iii) The UK and France pushed for intergovernmental approach of EFP in IGC 2003²⁶. To a large extent, the future of CFSP/ESDP now depends on whether Germany will assume greater leadership in this area, and whether France will speed up to a more integrated foreign policy, with Brexit next door.

3. Conclusions

Scorecard 2016 (ECFR 2016) shows that from 12 policy challenges for EU: Germany took leadership 8 times, and France 4. Together with Italy, they are also the top contributors in NATO troops in Afghanistan.

For the future, EFP must elaborate its added value. To address coming crises, Europe needs economy, politics and security strengthening: integration is the solution, not isolationism Moreover, *Brex*it should serve as a lesson to more unite Europe under a new governmental structure, while keeping partnership with UK.

How capable will be EFP *to save Turkey's* foreign policy when: (i)the country might adhere at Shanghai Cooperation Organization²⁷, (ii) Erdogan threatens of 'revenge' vs Netherlands²⁸ and (iii) Ankara is ready to offer to Moscow his 'Iagoan' services through Syria.²⁹

The Parrondo paradox³⁰ of the *Western Balkans EU membership* is a never-ending journey towards the 'Promised Europe'. Prospects seem right and unaffected by Brexit³¹...

As this paper focused only in EU vs small and big states to access the direct relationship of foreign policies, it would be interesting to see how un upgraded EFP will affect post- Bratislava process and foreign policies of the Visegrad countries with the Brexit next door.

Bibliography

Anastasakis, O., 2005. The Europeanization of the Balkans. *The Brown Journal of World Affairs*, XII(I), pp. 77-88.

Anon., n.d. s.l.: s.n.

Borzel, T. & Soyaltin, D., 2012. Europeanization in Turkey. Stretching a Concept to its Limits?. *KFG the Transformative Power of Europe, WP*, ----(36), pp. 1-23.

ECFR, 2016. European Foreign Policy Scorecard 2016, London: ECFR.

Gross, E., 2007. The Europeanization of national foreign policy? The role of the EU CFSP/ESDP in crisis decision-making in Macedonia and Afghanistan. [Online] Available at: http://etheses.lse.ac.uk/2934/1/U615885.pdf [Accessed 09 03 2017].

Haas, E. B., 1958. *The Uniting of Europe: Political, Social and Economic Forces 1050-1957.* -- ed. Stanford CA: Stanford University Press.

Hallstein, W., 1962. *United Europe: Challenge and Opportunity*. First ed. Cambridge MA: Harvard University Press.

Hix, S. & Goetz, K., 2000. Introduction: European Integration and national political systems.. *West European Politics*, 23(4), pp. 1-26.

Hoebeke, H., Carette, S. & Vlassenroot, K., 2007. *EU support to the Democratic Republic of Congo*, Brussels: Office of Prime Minister.

Keohane, R., 1989a. *International Relations and State Power, Essays in International Relations Theory*. Boulder: Westview press.

Kissack, R., 2016. Course "The EU in the World Politics", E-Unit, 2016-2018, Executive Master in EU Studies, CIFE. : CIFE.

Lehne, S., 2012. *The Big Three in EU Foreign Policy*. [Online] Available at: http://carnegieeurope.eu/2012/07/05/big-three-in-eu-foreign-policy-pub-48759 [Accessed 5 3 2017].

Mayhew, A., 1998. Recreating Europe. Cambridge: Cambridge University Press.

Miskimmon, A., 2007. Germany and the Common Foreign and Security Policy of the European Union, Between Europeanisation and national Adaptation. London: Palgrave Macmillan.

Moravscik, A., 1993. Preferences and power in the European Community. *Journal of Common Market Studies*, 31(4), pp. 473-524.

Muller, P. & Flers, N. A. d., 2009. Applying the Concept of Europeanization to the Study of Foreign Policy:

Dimensions and Mechanisms. [Online]

Available at: https://eif.univie.ac.at/downloads/workingpapers/wp2009-05.pdf
[Accessed 05 03 2017].

Papadimitriou, D., 2002. Exporting Europeanisation: EU enlargement, the twining exercise and administrative reform in Eastern Europe. Turin, EPCR Joint Sessions 22-27 April 2002.

Parliament, E., 2012. *On Enlargement: policies, criteria and the EU's strategic interests.* [Online] Available at: http://www.europarl.europa.eu/sides/getDoc.do?type=REPORT&reference=A7-2012-0274&language=EN

[Accessed 03 03 2017].

Pastore, G., 2013. Small new member states in the EU foreign policy: Toward 'small state smart strategy'?. *Baltic Journal of Political Science*, December(3), pp. 67-84.

Radaelli, C., 2000. Wither Europeanization? Concept stretching and substantive change.. *European Integration online Papers*, 7 17, 4(8), pp. ----.

Smith, M. E., 2000. Conforming to Europe: the Domestic Impact of EU Foreign Policy Cooperation. *Journal of European Public Policy*, 7(5), pp. 613-631.

Tonra, B., 1997. The Impact of political co-operation. In: K. E. Jorgensen, ed. (ed) Reflective approaches to European Governance. London: Palgrave Macmillan, pp. 181-198.

Migena Pengili Euroacademia 11-12 December 2017

Tsardanidis, C. & Stavridis, S., 2005. The Europeanisation of Greek Foreign Policy. Journal of European Integration, 27(2), pp. 217-39.

Wong, R., 2011. The Europeanization of Foreign Policy. In: C. Hill & M. Smith, eds. International Relations and the European Union. Oxford: Oxford University Press, pp. 150-170.

Explanatory Notes

¹ As already accomplished processes of saving foreign policies on national level, the paper concentrates on EU member states, large and

BIO-NOTE

Migena (Megghi) Pengili, Italian, MA (Sussex University) is an Independent Researcher on International Relations and Security Studies. Currently, she is attending her 2nd Master degree in EU Studies at the Centre Internationale de Formation Europeenne (CIFE) in the Program: Executive Master in EU studies.

Her working experience is of various composure: Ministry of Defence Albania, Defence Policy department (2006-2012); Regional Environmental Centre for SEE Countries, Hungary (2012-2013). In 2014 and 2015, she collaborated at the Israeli Pavillion at the Biennale of Venice to understand politics of a country from cultural perspective., etc...She already holds an MA in International Relations from University of Sussex and is a "George C.Marshall Center" scholar. Her academic and professional qualifications were performed institutions such as: European Center for Security Studies "George C, Marshall Center", Germany; Centro Alti Studi per la Difesa (CASD), Italy; UNDP

²See: https://en.oxforddictionaries.com/definition/europeanize, accessed on 2.03.2017

³For study purposes as explained in the 1st paragraph, saved= Europeanised, thus our discussion focuses on the Europeanization of national foreign policies.

as examples of non-EU large and small states

⁵Vink, M. (2002) What is Europeanization? and Other Questions on a New Research Agenda Paper for the Second YEN Research Meeting Milan, Europeanisation, University of Bocconi, 22-23 at:http://www.essex.ac.uk/ecpr/standinggroups/yen/paper_archive/2nd_yen_rm_papers/vink2002.pdf, accessed on 12.03.2017

⁶ here Europeanization

⁷ Sociological institutionalism suggests that Europeanisation is a socialisation learning process, resulting in the development of new identities (Borzel & Soyaltin, 2012),

⁸A top-down process, states adapt their foreign policy to the EU, by downloading the latter criteria and requirements see Wong ibid, pg.151

⁹ A bottom-up process, states seek to promote their national interest to the EU, by uploading them from national level to supranational level see Wong ibid, pg.152

¹⁰ EU exercises its normative power in shaping national identities conform EU identity, by interchanging norms, see Wong ibid, pg.157

Table 1. Dimensions, mechanism and outcomes of the Europeanization of foreign policy, in: Muller, de Fleurs, see Bibliography

¹² Various authors use the goodness fit parameter to refer as the capacity, or capability of the state to cope with EU requirements, e.g. Miskimmon 2007, Mendez 2008, Mastenbroek 2006 etc...

¹³ see https://europa.eu/european-union/topics/foreign-security-policy en, accessed on 10.03.2017

¹⁴ Sallust

^{15 27} without UK

¹⁶ Article 22 TEU

¹⁷ Article 16 TEU

¹⁸ Wong ibid, pg. 152

¹⁹ on their behavioural elements such as: state as lobbyist, self-interested mediator, and norm entrepreneur., see Pastor ibid

²⁰See: "Polish, Bulgarian and Swedish foreign ministers in Lebanon, Iraq", http://www.thenews.pl/1/10/Artykul/103670,Polish-Bulgarian- and-Swedish-foreign-ministers-in-Lebanon-Iraq, accessed on 03.03.2017

See: Russia Border Tensions, available at: https://www.ft.com/content/2cf60498-0e14-11e5-8ce9-00144feabdc0, accessed on 0.03.2017

²² See: http://www.mfa.gr/en/issues-of-greek-turkish-relations/, accessed on 12.03.2017

²³ See: http://news.bbc.co.uk/2/hi/europe/336150.stm, accessed on 10.03.2017

²⁴ See: https://www.ft.com/content/29202af0-b5cd-11da-9cbb-0000779e2340, accessed on 14.03.2017

http://www.telegraph.co.uk/news/worldnews/europe/russia/2706827/Georgia-crisis-Nicolas-Sarkozy-hails-breakthrough-in-talkswith-Russia.html, accessed on14.03.2017

http://www.euractiv.com/section/future-eu/opinion/france-germany-and-the-uk-in-the-convention-common-interests-or-pulling-indifferent-directions/, accessed on 16.03.2017

27 See: http://www.cfr.org/conflict-assessment/turkey-russia-doing-syria/p38603 accessed on 05.03.2017

²⁸ See: http://www.bbc.com/news/world-europe-39270095, accessed on 10.03.2017

²⁹ See: http://www.huffingtonpost.com/raghida-dergham/erdogan-putin-ready-to-se_b_11384488.html, accessed on 11.03.2017

³⁰ A combination of not favorable elements constitutes a winning strategy. The Paradox is named after Juan Parrondo. It was created in 1996.

³¹ See: https://euobserver.com/enlargement/134198, accessed on 12.03.2017

Migena Pengili Euroacademia 11-12 December 2017

Albania; UNFPA Tirana; Balkans Youth Forum (BCYF), etc...Migena has a good command in 7 foreign languages: Albanian, English, French, German, Italian, Spanish, Serbian and from 2016 started to study Modern Hebrew at the seasonal courses at Tel Aviv University.